



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/810,928	03/15/2001	Juro Kikuchi	COR20 P-368 (SP01-047)

**CONFIRMATION NO. 7134**

## FORMALITIES LETTER



\*OC000000006133650\*

Michael R. Long  
Price, Heneveld, Cooper, DeWitt & Litten  
695 Kenmoor S.E.  
P.O. Box 2567  
Grand Rapids, MI 49501

Date Mailed: 05/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

***A copy of this notice MUST be returned with the reply.***

Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

05310526

653.00 03

(U.S.)



Attorney Docket No.: SP01-047

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Kikuchi, et al  
**Serial No.:** 09/810,928  
**Filed:** March 15, 2001  
**For:** ARRAYED OPTICAL DEVICE

**COMBINED  
CERTIFICATE UNDER  
37 C.F.R. § 3.73(b)  
and  
POWER OF ATTORNEY**

Assistant Commissioner for Patents  
Washington, DC 20231

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

**CORNING INCORPORATED**, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(U.S.)

Attorney Docket No.: SP01-047

**POWER OF ATTORNEY BY ASSIGNEE**

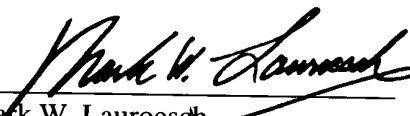
CORNING INCORPORATED, the assignee of the full and exclusive right, title and interest in and to application number 09/810,928 filed March 15, 2001 with the United States Letters Patent entitled **ARRAYED OPTICAL DEVICE** and executed by Juro Kikuchi, Yasuyuki Mizushima, Hiroki Takahashi, Yoshiaki Takeuchi, on, April 16, 2001, April 17, 2001, April 16, 2001 and April 16, 2001 respectively, appoints the practitioners associated with the Customer Number provided below (i.e., the practitioners associated with the Patent Department, Corning Incorporated) to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith. Please direct all correspondence to Juliana Agon at the address associated with that Customer Number.

**Customer Number: 22928**

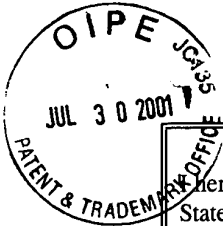
CORNING INCORPORATED

Date: June 25, 2001

By

  
Mark W. Lauroesch

Division Vice President and  
Director, Intellectual Property Law



sector 1

Atty. Docket No. SP01-047 (COR20/P-368)

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington D.C. 20231, on the date indicated below.

7-25-01  
Date

Carrie S. Doornbos  
Carrie S. Doornbos

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 09/810,928  
Filing Date : March 15, 2001  
Applicants : Juro Kikuchi et al.  
Confirmation No. : 7134  
For : ARRAYED OPTICAL DEVICE

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

TRANSMITTAL LETTER

Enclosed herewith for filing in the subject application are the following documents:

- 1) Part II of Notice to File Missing Parts of Nonprovisional Application;
- 2) Certificate Under 37 C.F.R. §3.73(b) and Power of Attorney and  
a copy of the Assignment;
- 3) Declaration;
- 4) Check in the amount of \$130 (missing parts fee); and
- 5) Return Postcard.

Please charge any additional fees required or credit any excess fee paid to Deposit  
Account No. 16-2463. A duplicate of this petition is attached.

PRICE, HENEVELD, COOPER,  
DEWITT & LITTON

Michael R. Long  
Michael R. Long  
Registration No. 42 808  
695 Kenmoor, S.E.  
Post Office Box 2567  
Grand Rapids, Michigan 49501  
(616) 949-9610

July 25, 2001  
Date

MRL/csd